

# The Influence of Different Oxides on the Formation of $\text{Si}_2\text{N}_2\text{O}$ from $\text{SiO}_2$ and $\text{Si}_3\text{N}_4$

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## Abstract

$\text{Si}_2\text{N}_2\text{O}$  powder has been produced by reacting  $\text{SiO}_2$  and  $\text{Si}_3\text{N}_4$  in the presence of a liquid phase obtained by the addition of  $\text{Al}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{MgO}$ . The phase changes occurring during the reaction have been quantified by X-ray diffraction. The reaction has been studied at different temperatures and periods of time. The grain size of the starting materials has a large influence on the conversion to  $\text{Si}_2\text{N}_2\text{O}$ , and, by using a fine-grained  $\text{SiO}_2$ , a substantial conversion to  $\text{Si}_2\text{N}_2\text{O}$  was obtained within 1 h at  $1500^\circ\text{C}$  for the  $\text{Y}_2\text{O}_3$ -containing material.

$\text{Si}_2\text{N}_2\text{O}$ -Pulver wurde durch die Reaktion von  $\text{SiO}_2$  und  $\text{Si}_3\text{N}_4$  in Gegenwart einer Flüssigphase hergestellt, die Zusätze von  $\text{Al}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$  bzw.  $\text{MgO}$  enthielt. Die während der Reaktion auftretenden Änderungen der Phasenzusammensetzung wurden mit der Röntgenbeugungsmethode quantitativ bestimmt. Die Reaktion wurde bei verschiedenen Temperaturen und Zeiten untersucht. Die Korngröße des Ausgangsmaterials hat einen großen Einfluß auf die Umwandlung in  $\text{Si}_2\text{N}_2\text{O}$  und mit einem feinkörnigen  $\text{SiO}_2$  konnte eine weitgehende Umwandlung in  $\text{Si}_2\text{N}_2\text{O}$  innerhalb 1 h bei  $1500^\circ\text{C}$  für das  $\text{Y}_2\text{O}_3$ -haltige Material erreicht werden.

On a préparé de la poudre de  $\text{Si}_2\text{N}_2\text{O}$  par réaction entre  $\text{SiO}_2$  et  $\text{Si}_3\text{N}_4$  en présence d'une phase liquide obtenue par l'addition de  $\text{Al}_2\text{O}_3$ , de  $\text{Y}_2\text{O}_3$  ou de  $\text{MgO}$ . Les changements de phase se produisant pendant la réaction ont été mesurés par diffraction X. On a étudié la réaction en faisant varier les paramètres temps et température. La granulométrie des réactifs exerce une influence notable sur la conversion en  $\text{Si}_2\text{N}_2\text{O}$ .

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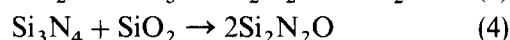
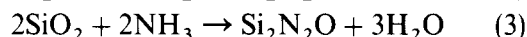
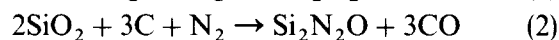
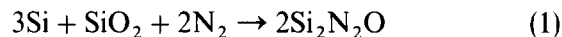
*L'utilisation d'une poudre fine de  $\text{SiO}_2$  et le dopage par  $\text{Y}_2\text{O}_3$  conduisent à un taux de conversion élevé après un traitement d'1 h à  $1500^\circ\text{C}$ .*

## 1 Introduction

Silicon oxynitride ceramics should be regarded as promising high-temperature materials because of their good oxidation and thermal-shock resistance.<sup>1–4</sup>

$\text{Si}_2\text{N}_2\text{O}$  ceramics may in principle be prepared in two different ways. One method is to sinter  $\text{SiO}_2$ ,  $\text{Si}_3\text{N}_4$ , and the appropriate sintering aid and have  $\text{Si}_2\text{N}_2\text{O}$  formed during the sintering. Another method is to sinter presynthesized  $\text{Si}_2\text{N}_2\text{O}$  together with a sintering aid. This second technique seems to be more advantageous because it permits more suitable processing.

According to the literature, the following routes have been used to prepare  $\text{Si}_2\text{N}_2\text{O}$  powders:



In 1971 Billy *et al.*<sup>5</sup> prepared 95% pure  $\text{Si}_2\text{N}_2\text{O}$  (containing 5%  $\beta\text{-Si}_3\text{N}_4$ ) according to the reaction of eqn (1). The mean particle size was  $3\ \mu\text{m}$  and the size was always below  $20\ \mu\text{m}$ .

The carbothermal reaction in eqn (2) has been applied to produce low-cost  $\text{Si}_2\text{N}_2\text{O}$  powders by using an impure  $\text{SiO}_2\text{:C}$  source, 'black ash', which is the product of combustion of rice husks in an oxygen-deficient atmosphere.<sup>6</sup> Besides the impurities present in the 'black ash',  $\text{CaO}$  was added to enhance the  $\text{Si}_2\text{N}_2\text{O}$  formation. However, the presence of  $\text{CaO}$  will, of course, limit the use of these

powders to lower temperatures. Bolech *et al.*,<sup>7</sup> using purer starting materials, studied the influence of different oxide additives on the carbothermal reaction. By adding 1–3% of CaO, SrO or BaO, Si<sub>2</sub>N<sub>2</sub>O powders were prepared at 1400–1450°C. However, Si<sub>2</sub>N<sub>2</sub>O ceramics made of these powders will have an inferior high-temperature strength.

As long ago as 1967, Marchand and Lang<sup>8</sup> tried to synthesize Si<sub>2</sub>N<sub>2</sub>O by nitridation of amorphous SiO<sub>2</sub> with NH<sub>3</sub>. However, when they tried to crystallize the product, Si<sub>2</sub>N<sub>2</sub>O appeared in small amounts contaminated with Si<sub>3</sub>N<sub>4</sub>. Recently, Sjöberg *et al.*<sup>9</sup> nitrided a spray-dried commercial pure silica sol by using NH<sub>3</sub>. The resulting powders were amorphous. However, by sintering the amorphous powder with large amounts of sintering aids, Y<sub>2</sub>O<sub>3</sub> and Al<sub>2</sub>O<sub>3</sub>, a 90% dense Si<sub>2</sub>N<sub>2</sub>O material was formed.

The fourth preparation route, eqn (4), involves a direct reaction between SiO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub>. However, even if thermodynamics predict<sup>10</sup> the reaction to start at about 1140°C, the reaction is extremely sluggish. Si<sub>2</sub>N<sub>2</sub>O powders have therefore been prepared by adding Al<sub>2</sub>O<sub>3</sub><sup>1</sup> or MgO<sup>11</sup> in order to enhance the reaction rate by forming a liquid phase. Barta *et al.*<sup>11</sup> used MgO because they were mainly concerned with the dielectric properties of Si<sub>2</sub>N<sub>2</sub>O and a final application at temperatures below 800°C. By using equimolar amounts of SiO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub> and with the addition of 3 wt% MgO, a nearly full conversion was obtained at 1650°C. In the work by Huang *et al.*<sup>1</sup> an equimolar mix of SiO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub> and 3 mol% of Al<sub>2</sub>O<sub>3</sub> were used, resulting in a 75% conversion to Si<sub>2</sub>N<sub>2</sub>O at 1700°C.

From the discussion above, it is evident that an oxide additive that forms a liquid phase with the SiO<sub>2</sub> and Si<sub>3</sub>N<sub>4</sub> should enhance the formation rate of Si<sub>2</sub>N<sub>2</sub>O. Presumably, the liquid enhances the dissolution of Si<sub>3</sub>N<sub>4</sub> and in that way promotes the formation of Si<sub>2</sub>N<sub>2</sub>O.<sup>1,12</sup>

The intention of this paper is primarily to compare the influence of different oxide additives on the formation of Si<sub>2</sub>N<sub>2</sub>O powders. The oxide additives studied are Al<sub>2</sub>O<sub>3</sub>, MgO, and Y<sub>2</sub>O<sub>3</sub>. The yttrium oxide was included because it produces a

more refractory glass phase than the other compounds. The influence of different starting materials has also to some extent been studied. For example, the influence of the coarseness of the SiO<sub>2</sub> powder was studied for one composition. Results obtained by using β-Si<sub>3</sub>N<sub>4</sub> instead of α-Si<sub>3</sub>N<sub>4</sub> are also presented.

## 2 Experimental

The raw materials used are α-Si<sub>3</sub>N<sub>4</sub> (BET surface area = 20 m<sup>2</sup>/g and α/β = 95/5; Kema Nord Industrikemi, Ljungaverk, Sweden), SiO<sub>2</sub> (Quartz, Pro analysi, M 7536; BET surface area = 0.03 m<sup>2</sup>/g; E. Merck, Darmstadt, FRG), Al<sub>2</sub>O<sub>3</sub> (Pro analysi, Fi A-591; Fisher Scientific Co., Fair Lawn, NJ, USA), Y<sub>2</sub>O<sub>3</sub> (99.98% Y<sub>2</sub>O<sub>3</sub>; H. C. Starck, FRG) and MgO (Pro analysi, M 5865; E. Merck, Darmstadt, FRG). Equimolar amounts of Si<sub>3</sub>N<sub>4</sub> and crushed SiO<sub>2</sub> were dry-mixed together with the appropriate sintering aid in a mixer mill (sample mixer mill, M 280; Glen Creston, Stanmore, Middx, UK). The compositions of the mixtures used are listed in Table 1.

The mixed powders were uniaxially pressed into cylindrical pellets. The pellets were embedded in BN powder in BN-lined graphite crucibles. The heat treatment was done in a graphite resistance furnace in static nitrogen, generally for 1 h at 1500–1800°C.

The reaction products were identified by X-ray diffraction. A qualitative estimate of the relative amounts of the different phases was obtained by using

$$i\% = \frac{I_i}{\sum I_i} \quad (5)$$

where  $I_i$  is the peak height of phase  $i$ . The criteria for choosing a specific peak were based on good resolution, sufficient intensity, and freedom from peak overlap. The following peaks were used: (020) for Si<sub>2</sub>N<sub>2</sub>O, (201) for α-Si<sub>3</sub>N<sub>4</sub>, (101) for β-Si<sub>3</sub>N<sub>4</sub>, and (101) for cristobalite.

The sintered pellets were crushed and ground by hand for 20 min by using an agate mortar and pestle. The morphology of the powder obtained was characterized by SEM (JSM-840; Jeol, Tokyo, Japan).

## 3 Results and Discussion

From the thermodynamic information given by Hendry,<sup>10</sup> Si<sub>2</sub>N<sub>2</sub>O should form at 1140°C. However, the X-ray results for specimen O indicates that no

**Table 1.** Chemical composition (mol%) of the mixtures studied

Specimen	Si <sub>3</sub> N <sub>4</sub>	SiO <sub>2</sub>	Al <sub>2</sub> O <sub>3</sub>	Y <sub>2</sub> O <sub>3</sub>	MgO
O	50	50	—	—	—
A	48.5	48.5	3	—	—
Y	48.5	48.5	—	3	—
M	48.5	48.5	—	—	3

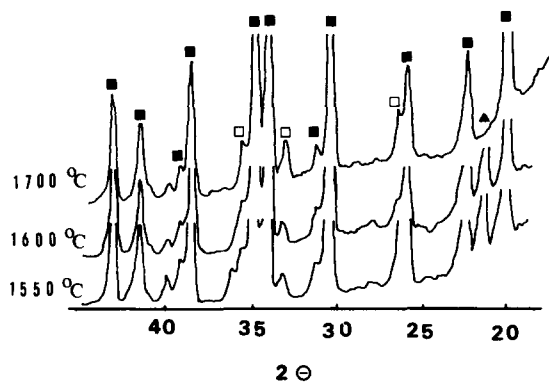


Fig. 1. X-ray diffractograms for composition O, heat treated for 1 h. ■,  $\alpha\text{-Si}_3\text{N}_4$ ; □,  $\beta\text{-Si}_3\text{N}_4$ ; △, cristobalite.

$\text{Si}_2\text{N}_2\text{O}$  is formed between 1550 and 1700°C (see Fig. 1). Furthermore, Fig. 1 shows that some transformation of  $\alpha$ - to  $\beta$ - $\text{Si}_3\text{N}_4$  has occurred at 1700°C but not at 1600°C. Besides this, no cristobalite peak can be seen at 1700°C. With increasing temperature, a reaction will occur between  $\text{SiO}_2$  and  $\text{Si}_3\text{N}_4$ , resulting in the volatile formation of  $\text{SiO}$  and  $\text{N}_2$ ,<sup>13</sup> which presumably accounts for the major part of the weight losses shown below in Fig. 5. The reaction may explain why the  $\alpha\text{-Si}_3\text{N}_4$  and cristobalite contents decrease with increasing temperature, especially if we consider that  $\alpha\text{-Si}_3\text{N}_4$  is less stable than  $\beta\text{-Si}_3\text{N}_4$ <sup>10</sup> and therefore will be more prone to take part in the volatilization reaction. However, besides the apparent increase in the amount of  $\beta\text{-Si}_3\text{N}_4$  owing to the evaporation, a certain amount must have been formed, as seen in the X-ray diffractogram. Presumably, some  $\text{SiO}_2$  is present as an amorphous phase, which at temperature pro-

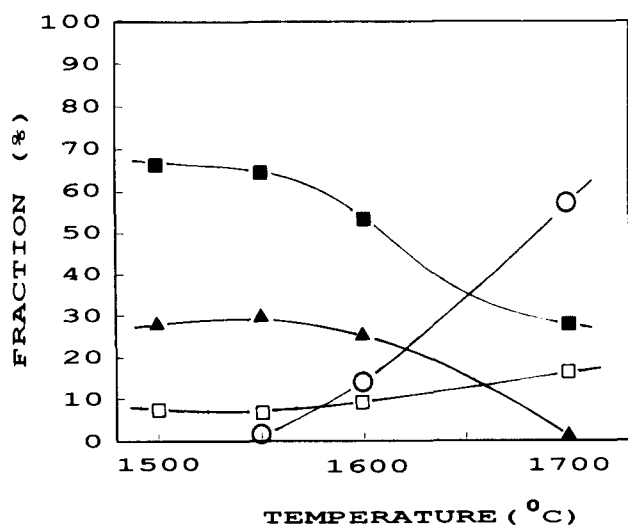


Fig. 2. Fraction of phases obtained in composition A (3 mol%  $\text{Al}_2\text{O}_3$ ) after heat treatment for 1 h as a function of temperature. ○,  $\text{Si}_2\text{N}_2\text{O}$ ; ■,  $\alpha\text{-Si}_3\text{N}_4$ ; □,  $\beta\text{-Si}_3\text{N}_4$ ; ▲, cristobalite.

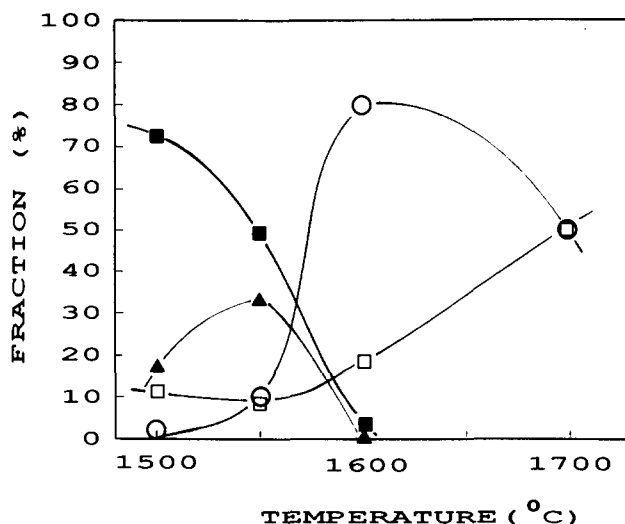


Fig. 3. Fraction of phases obtained in composition Y (3 mol%  $\text{Y}_2\text{O}_3$ ) after heat treatment for 1 h as a function of temperature. ○,  $\text{Si}_2\text{N}_2\text{O}$ ; ■,  $\alpha\text{-Si}_3\text{N}_4$ ; □,  $\beta\text{-Si}_3\text{N}_4$ ; ▲, cristobalite.

vided the liquid phase necessary for the  $\alpha$ -to- $\beta$  transformation.

The effect of small additions (2 mol%) of  $\text{Al}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$ , and  $\text{MgO}$  was also studied. By using eqn (5), the relative amount of the phases was estimated from the X-ray diffraction data. The results shown in Figs 2–4 were obtained after a heat treatment time of 1 h. The oxide additions show a large influence on the formation of  $\text{Si}_2\text{N}_2\text{O}$ . From the X-ray diffraction data, traces of  $\text{Si}_2\text{N}_2\text{O}$  were found at 1500°C for the  $\text{Y}_2\text{O}_3$  and  $\text{MgO}$  additions, while  $\text{Si}_2\text{N}_2\text{O}$  started to form at about 1550°C for the  $\text{Al}_2\text{O}_3$  addition.

The amount of  $\text{Si}_2\text{N}_2\text{O}$  increases with increasing temperature to reach a value of about 55% at 1700°C for the  $\text{Al}_2\text{O}_3$  addition (see Fig. 2). In

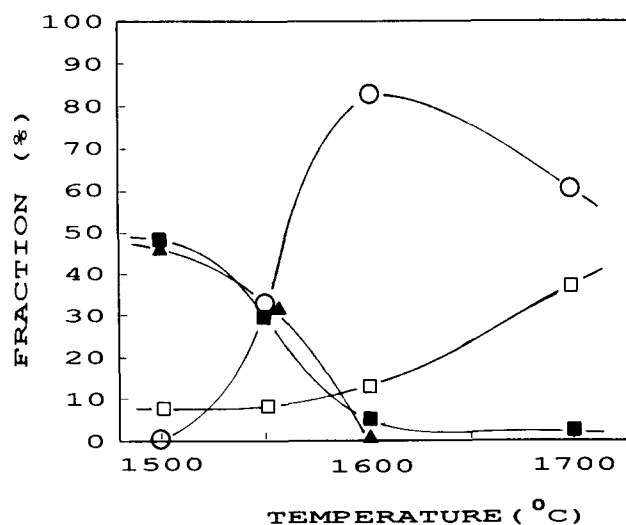


Fig. 4. Fraction of phases obtained in composition M (3 mol%  $\text{MgO}$ ) after heat treatment for 1 h as a function of temperature. ○,  $\text{Si}_2\text{N}_2\text{O}$ ; ■,  $\alpha\text{-Si}_3\text{N}_4$ ; □,  $\beta\text{-Si}_3\text{N}_4$ ; ▲, cristobalite.

contrast, the  $Y_2O_3$  and  $MgO$  additions give a maximum amount of about 80% at a temperature of about  $1600^\circ C$  (see Figs 3 and 4). The decrease in the amount of  $Si_2N_2O$  corresponds well with the increase in  $\beta-Si_3N_4$ . At the higher temperatures,  $Si_2N_2O$  presumably has decomposed to  $SiO$ ,  $N_2$ , and  $Si_3N_4$ . This type of decomposition has previously been observed by others, e.g. Lortholary and Billy.<sup>14</sup>

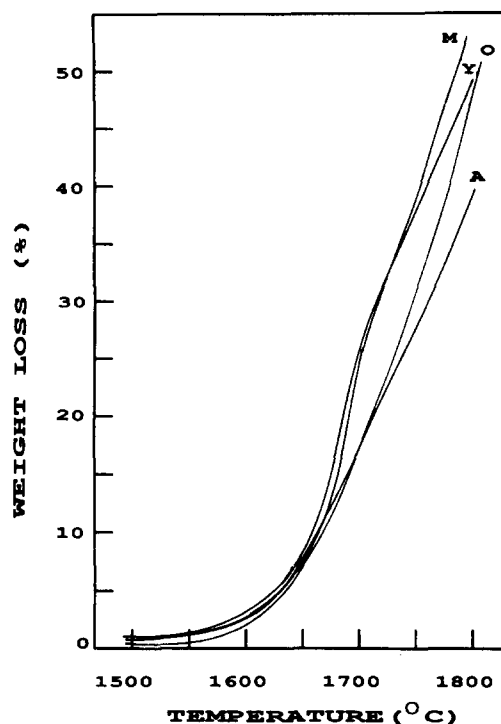
Furthermore, the transformation of  $\alpha$ - to  $\beta$ - $Si_3N_4$  also coincides with the formation of  $Si_2N_2O$ . We therefore believe that a liquid phase is necessary for the reaction between  $SiO_2$  and  $Si_3N_4$  to occur. Further support for this hypothesis is obtained from work by Hampshire and Jack.<sup>15</sup> In their paper, the liquid formation temperature for a  $Si_3N_4$  with 4 wt% surface silica was found to be 1470, 1440 and  $1390^\circ C$ , respectively, for a 5 wt% addition of  $Al_2O_3$ ,  $Y_2O_3$  and  $MgO$ . A liquid phase is thus believed to form at a higher temperature for the  $Al_2O_3$  case (Fig. 2), and  $Si_2N_2O$  therefore starts to form at a higher temperature than for the  $Y_2O_3$  and  $MgO$  cases. Tsai and Raj<sup>16</sup> have suggested a model for the dissolution of  $Si_3N_4$  and the resulting growth of  $Si_2N_2O$  in a  $Mg-Si-O-N$  glass, which, slightly modified, should be applicable in the present case. The growth of  $Si_2N_2O$  is a process suggested to consist of the following three steps. First,  $Si_3N_4$  and  $SiO_2$  are dissolved into the melt as silicon, nitrogen and oxygen, which, secondly, then diffuse through the melt towards the growing  $Si_2N_2O$  and finally attach to these growing crystals. Because the  $Al_2O_3$ -containing liquid is believed to have the highest viscosity, the growth of  $Si_2N_2O$  and the  $\alpha$  to  $\beta$  transformation should be more difficult than in  $Y_2O_3$ - and  $MgO$ -containing liquids.

It is also of interest to look at the weight losses observed for the compositions studied. In Fig. 5 is shown the weight loss as a function of temperature for a heat treatment time of 1 h. The weight loss increases strongly with increasing temperature. Because of the large weight loss, it should thus be of no interest to form  $Si_2N_2O$  at temperatures above  $1600^\circ C$ . It is interesting to note that the  $Al_2O_3$ -containing material has the lowest weight loss, which we interpret as if the liquid of this material has the highest viscosity.

**Table 2.** Relative amounts (%) of phases after 8 h at  $1430^\circ C$

Composition	$Si_2N_2O$	$\alpha-Si_3N_4$	$\beta-Si_3N_4$	Cristobalite	$Y_2Si_2O_7$
Y	37	26	7	22	8 <sup>a</sup>
M	48	21	11	20	—

<sup>a</sup>The amount of  $Y_2Si_2O_7$  was evaluated by using the (021) peak.



**Fig. 5.** Weight losses after heat treatment for 1 h as a function of temperature. O, Specimen O; A, specimen A; Y, specimen Y; M, specimen M.

Besides temperature, heat treatment time has a large influence on the  $Si_2N_2O$  formation. By using a time of 8 h, significant amounts of  $Si_2N_2O$  were obtained at  $1430^\circ C$  for the  $Y_2O_3$  and  $MgO$  additions (see Table 2). After 8 h at  $1500^\circ C$  the  $Al_2O_3$  added material also showed a significant transformation (see Table 3).

In Figs 2–4 it was seen that the  $\alpha$ - to  $\beta$ - $Si_3N_4$  transformation occurs during the  $Si_2N_2O$  formation, and, in order to see if this transformation might influence the  $Si_2N_2O$  formation, a  $\beta$ - $Si_3N_4$  (Denka SN-BS, lot no. 1002; BET surface area  $4.5\text{ m}^2/\text{g}$  and  $>90\%$   $\beta$ - $Si_3N_4$ ; Denka, Tokyo, Japan) was used instead of  $\alpha$ - $Si_3N_4$ . It was found that the X-ray diffraction patterns obtained by using  $\alpha$ - and  $\beta$ - $Si_3N_4$  in the  $Y_2O_3$  composition were roughly the same at  $1600^\circ C$ . It therefore seems reasonable to assume that there is no significant effect of using  $\beta$ - $Si_3N_4$  instead of  $\alpha$ - $Si_3N_4$ , which seems natural in view of the small difference in Gibbs energy between the two  $Si_3N_4$  modifications.<sup>10</sup>

**Table 3.** Relative amounts (%) of phases after 8 h at  $1500^\circ C$

Composition	$Si_2N_2O$	$\alpha-Si_3N_4$	$\beta-Si_3N_4$	Cristobalite	$Y_2Si_2O_7$
A	46	31	7	16	—
Y	78	2	12	—	8 <sup>a</sup>
M	51	27	7	15	—

<sup>a</sup>The amount of  $Y_2Si_2O_7$  was evaluated by using the (021) peak.

As discussed previously, the oxide additive will initially form an oxynitride liquid by a reaction with  $\text{SiO}_2$  and  $\text{Si}_3\text{N}_4$ . When the liquid becomes supersaturated with respect to  $\text{Si}_2\text{N}_2\text{O}$ , this phase will precipitate. Its further growth will be described by the modified model discussed earlier. The rate controlling step may thus be dissolution of either  $\text{SiO}_2$  or  $\text{Si}_3\text{N}_4$ , transport of ions through the liquid to the growing  $\text{Si}_2\text{N}_2\text{O}$  crystals, or the attachment of ions to these crystals. The  $\text{SiO}_2$  powder used was extremely coarse-grained, and its dissolution may therefore have been rate controlling. In order to test this hypothesis, a fine-grained  $\text{SiO}_2$  was used in a few experiments.

The mixing conditions were as follows:  $\text{Si}_3\text{N}_4$   $\alpha$ - $\text{Si}_3\text{N}_4$ , grade LC10; BET surface area  $11.8 \text{ m}^2/\text{g}$ ; H. C. Starck, FRG), quartz (Quartz no. 4651,  $>99.35\%$   $\text{SiO}_2$ ; BET surface  $1.1 \text{ m}^2/\text{g}$ ; Carl Roth KG Chemische Fabrik, Karlsruhe, FRG) and  $\text{Y}_2\text{O}_3$  were wet milled in propanol for 30 min. After evaporating the liquid, cylindrical pellets were pressed as discussed earlier. The formation of  $\text{Si}_2\text{N}_2\text{O}$  was significantly enhanced by using more fine-grained quartz and a more appropriate mixing technique. For a sintering temperature of  $1500^\circ\text{C}$  and a time of 1 h, about 85%  $\text{Si}_2\text{N}_2\text{O}$ , 5%  $\beta$ - $\text{Si}_3\text{N}_4$  and 10%  $\beta$ - $\text{Y}_2\text{Si}_2\text{O}_7$  were formed. (These fractions were not significantly changed by increasing the sintering time to 3 h.) As was seen in Fig. 3, only traces of  $\text{Si}_2\text{N}_2\text{O}$  were formed when using coarse-grained  $\text{SiO}_2$ . Thus improved processing and a finer-grained  $\text{SiO}_2$  had a most impressive effect on the formation of  $\text{Si}_2\text{N}_2\text{O}$ . We believe that an appropriate liquid phase was formed much more easily in the present case, and thus the growth of  $\text{Si}_2\text{N}_2\text{O}$  was drastically enhanced.

The X-ray results clearly demonstrate that  $\text{Si}_2\text{N}_2\text{O}$  can be formed at rather low temperatures provided that suitable raw materials and an appropriate processing technique are used. The  $\text{Si}_2\text{N}_2\text{O}$  powder was found to be submicron even after formation at  $1600^\circ\text{C}$  for the  $\text{Y}_2\text{O}_3$ -containing material. By reacting at lower temperatures, very fine-grained  $\text{Si}_2\text{N}_2\text{O}$  powder should thus be obtained.

#### 4 Conclusions

- (1)  $\text{Si}_2\text{N}_2\text{O}$  powder can be produced by reacting  $\text{SiO}_2$  and  $\text{Si}_3\text{N}_4$  in the presence of a liquid phase formed by the addition of  $\text{Al}_2\text{O}_3$ ,  $\text{Y}_2\text{O}_3$  and  $\text{MgO}$ .
- (2) About 80% conversion to  $\text{Si}_2\text{N}_2\text{O}$  was ob-

tained at  $1500^\circ\text{C}$  for a time of 8 h when  $\text{Y}_2\text{O}_3$  additions were used.

- (3) The particle size of the raw material has a large influence on the formation of  $\text{Si}_2\text{N}_2\text{O}$ .
- (4) By improved processing and the use of a fine-grained  $\text{SiO}_2$ , a substantial conversion to  $\text{Si}_2\text{N}_2\text{O}$  was obtained at  $1500^\circ\text{C}$  after only 1 h by using  $\text{Y}_2\text{O}_3$  additions.
- (5) Submicron  $\text{Si}_2\text{N}_2\text{O}$  particles have been obtained after reaction at  $1600^\circ\text{C}$  for the  $\text{Y}_2\text{O}_3$ -containing material.
- (6) The conversion rate to  $\text{Si}_2\text{N}_2\text{O}$  is directly related to the liquid formation temperature. The degree of conversion for a given time and temperature increases with decreasing liquid formation temperature.
- (7) The conversion rate to  $\text{Si}_2\text{N}_2\text{O}$  is much higher for  $\text{Y}_2\text{O}_3$  and  $\text{MgO}$  additions than for  $\text{Al}_2\text{O}_3$  additions.

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